Doc Code: OATH

the application of which

OR

Application Number

is attached hereto

PTO/58/01 (05-08) Approved for use through 06/30/2010, OMB 0651-0032

(if applicable).

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DECLARATION FOR UTILITY OR	Attorney Docket Number	PU4804USw						
DESIGN	First Named Inventor	Shewchuk						
PATENT APPLICATION	COM	IPLETE IF KNOWN						
(37 CFR 1.63)	Application Number	10/543,046						
Declaration Submitted OR Declaration Submitted after Initial	Filing Date	July 21, 2005						
With Initial Filling (surcharge	Art Unit	1656						
Filing (37 CFR 1.16 (I)) required)	Examiner Name	D. Steadman						
I hereby declare that: (1) Each inventor's residence, mailing address, and citizenship are as stated below next to their name; and (2) I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:								
ERBB4 CO-CRYSTAL								
(Title of the Invention)								

was filed on (MM/DD/YYYY)

01/20/2004

as United States Application Number or PCT International

and was amended on (MM/DD/YYYY)

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

## Authorization To Permit Access To Application by Participating Offices

PCT/US2004/001291

amended by any amendment specifically referred to above.

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, or other intellectual property office in which a foreign application claiming priority to the above-identified application is filed to have access to the application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the application-as-filed with respect to: 1) the above-identified application, 2) any foreign application to which the above-identified application claims priority under 35 USC 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified US application, and 3) any U.S. application from which benefit is sought in the above-identified application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Offices.

[Page 1 of 3]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	DECLARATION	ON — Utility or Design F	Patent Application	
Claim of Foreign Priority	Benefits			
I hereby claim foreign priority inventor's or plant breeder's ri country other than the United application for patent, inventor before that of the application or	ights certificate(s States of Americ r's or plant breed	<li>s), or 365(a) of any PCT into ca, listed below and have als der's rights certificate(s), or a</li>	ernational application values of the second section of the second	eign application(s) for patent, which designated at least one checking the box, any foreign application having a filing date
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO
Additional foreign app	plication number	rs are listed on a supplemen	tal priority data sheet F	PTO/SB/02B attached hereto.

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## **DECLARATION** — Utility or Design Patent Application OR Correspondence Direct all The address address below associated with correspondence to: 23347 Customer Number: Name Address ZIP State City Email Telephone Country **WARNING:** Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicity available. Petitioner/applicant is advised that documents which form the record of a patent application (such as the PTO/SB/01) are placed into the Privacy Act system of records DEPARTMENT OF COMMERCE, COMMERCE-PAT-7, System name; Patent Application Files. Documents not retained in an application file (such as the PTO-2038) are placed into the Privacy Act system of COMMERCE/PAT-TM-10, System name: Deposit Accounts and Electronic Funds Transfer Profiles. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor Given Name (first and middle (if any)) Family Name or Surname **SHEWCHUK** Lisa Marie Date Inventor's Sibriature Citizenship Country State CA Durham NC US Mailing Address c/o GlaxoSmithKline, Five Moore Drive, P.O. Box 13398 Country City State Zip

27709-3398

US

supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

NC

Additional inventors or a legal representative are being named on the

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PTO/SB/02A (07-07)

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DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 1				
					1	
Name of Additional Joint Inventor, if any	y:	T	has been filed for this ur	isigned	inventor	
Given Name (first and middle (if any)	)	Family Name or	Surname			
Anne Moore		HASSELL				
Inventor's Signature				Date		
Durham Residence: City	NC State	US Cou	intry	US Cilizer	nship	
c/o GlaxoSmithKline, Five Moore Drive, P.O. Box 13398						
Mailing Address						
Research Triangle Park City	NC State	-	27709-3398 Zip	US Count	ry	
Name of Additional Joint Inventor, if any:  A petition has been filed for this unsigned inventor						
Given Name (first and middle (if any)	)	Family Name or Sumame				
Perry Scott		BRIGNOLA				
tnventor's Signature				Date		
Alpharetta	GA State		US Country		US Cilizenship	
Residence: City 11850 Brisbane Drive	State		Country		CKIZOTSTAP	
					•	
Malling Address	GA		30004	us		
Alpharetta  City	State		Žip	Count	ry	
Name of Additional Joint Inventor, if an	y:	A petition has been filed for this unsigned inventor				
Given Name (first and middle (if any))		Family Name or Surname				
		·				
Inventor's Signature				Date		
Residence: City	State		Country		Citizenship	
Mailing Address						
City	State		Zip	Count	rv .	

City

State

Zip

Country

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	DECLAR	ATIO	N FOR	UTILITY OR	Attorney Docket Number	PU4804USw		
DESIGN					First Named Inventor Shewchuk			
	PATENT APPLICATION				COA	COMPLETE IF KNOWN		
	(37 CFR 1.63)			53)	Application Number	10/543,046		
	Declaration Submitted	OR		Declaration Submitted after Initial	Filing Date	July 21, 2005		
With Initial		Filing (surcharge (37 CFR 1.16 (f))	Art Unit	1656				
	. Filing			required)	Examiner Name	D. Steadman		
		-4- /43 [	h in.u	ata'a sasidagan mailin	a address, and citizenship s	are as stated below next to their name;		
and (	eby declare the 2) I believe the	invento	r(s) nam	ed below to be the origin	g address, and clizeriship a hal and first inventor(s) of the	e subject matter which is claimed and		

I hereby declare that: (1) Each inventor's residence, mailing address, and citizenship are as stated below next to their name; and (2) I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:							
ERBB4 CO-CRYSTAL							
(Title of the Invention)							
the application of which							
is attached hereto							
OR .							
was filed on (MM/DD/YYYY)  01/20/2004 as United States Application Number or PCT International							
Application Number PCT/US2004/001291 and was amended on (MM/DD/YYYY) (if applicable).							
I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above,							
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.							
Authorization To Permit Access To Application by Participating Offices							
If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, or other intellectual property office in which a foreign application claiming priority to the above-identified application is filed to have access to the application.							
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DECLARATION — Utility or Design Patent Application								
Claim of Foreign Priority Benefits  I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.								
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO				
				TO/SB/02B attached hereto.				

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supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

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## **DECLARATION** — Utility or Design Patent Application OR Correspondence The address Direct all address below correspondence to: associated with 23347 Customer Number: Name Address ZIP State City Email Telephone Country WARNING: Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider reducting such personal information from the documents before submitting them to the USPTO. Petitloner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. Petitioner/applicant is advised that documents which form the record of a patent application (such as the PTO/SB/01) are placed into the Privacy Act system of records DEPARTMENT OF COMMERCE, COMMERCE-PAT-7, System name: Patent Application Files. Documents not retained in an application file (such as the PTO-2038) are placed into the Privacy Act system of COMMERCE/PAT-TM-10. System name: Deposit Accounts and Electronic Funds Transfer Profiles. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor Family Name or Surname Given Name (first and middle (if any)) SHEWCHUK. Lisa Marie Date Inventor's Signature Citizenship Residence: City State Country CA US Durham NC Mailing Address c/o GlaxoSmithKline, Five Moore Drive, P.O. Box 13398 Country State Zip City

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Research Triangle Park

NC

Additional inventors or a legal representative are being named on the

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DECLARATION		Supplemental Sheet Page 1 of 1					
Name of Additional Joint Inventor, if any	y:	A petitio	n has been filed for this u	nsigned	inventor		
Given Name (first and middle (if any))		Family Name o	or Surname				
Anne Moore		HASSELL					
Inventor's Signature Hunc mean	1/ Breli	( ,		Date	Date 09/02/08		
Durham Residence: City	NC State	U: C	S ountry	US Citize	nship		
c/o GlaxoSmithKline, Five Moore Drive, P.O. Box 13398							
Mailing Address							
Research Triangle Park City	NC State		27709-3398 Zip	US Coun	lry		
Name of Additional Joint Inventor, if any:  A petition has been filed for this unsigned inventor							
Given Name (first and middle (if any))	)	Family Name or Surname					
Perry Scott		BRIGNOLA					
Inventor's Signature		•		Date			
Alpharetta Residence: City	GA State		US Country		US Citizenship		
11850 Brisbane Drive							
Mailing Address							
Alpharetta City	GA State		30004 Zip	US Count	ıry		
Name of Additional Joint Inventor, if any	<b>/</b> :	A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any))		Family Name or Surname					
	·			-			
Inventor's Signature				Date			
Residence: City	State		Country		Citizenship		
Mailing Address				•			
manning routices	<del></del>	<del></del> -					
Cin	State		Zin	Coun	lo.		

City

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	DECLARATION FOR UTILITY OR			UTILITY OR	Attorney Docket Number	PU4804USw		
	DECEM		ESIGN	,	First Named Inventor Shewchuk			
	PATENT APPLICATION				COMPLETE IF KNOWN			
		(37 C	FR 1.6	53)	Application Number	10/543,046		
	1 000101011	Declaration Submitted after Initial	Filing Date	July 21, 2005				
With Initial Filing (surcharge	Art Unit	1656						
	Filing			required)	Examiner Name	D. Steadman		
_								

[Page 1 of 3]

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DECLARATION — Utility or Design Patent Application								
Claim of Foreign Priority	Benefits							
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.								
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO				
				PTO/SB/02B attached hereto.				

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Additional inventors or a legal representative are being named on the

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supplemental sheet(s) PTO/S8/02A or 02LR attached hereto.

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DECLARATION	١ .	ADDITIONA Supplemental	L INVENTOR(S) Sheet	Page of	
Name of Additional Joint Inventor, it	f any:	A petition	has been filed for this u	insigned inventor	
Given Name (first and middle (if		Family Name o	r Surname		
Anna Moore	ariy))	HASSELL	Odmane		
Allie Moore		1			
Inventor's Signature				Date	
Durham Residence: City	NC State	US Co	untry	US Citizenship	
c/o GlaxoSmithKline, Five Moore Drive	, P.O. Box 13398				
Mailing Address					
Research Triangle Park City	NC State		27709-3398 Zip	US Country	
Name of Additional Joint Inventor, i	f any:	A petition has been filed for this unsigned inventor			
Given Name (first and middle (if	(апу))	Family Name or Surname			
Perry Scott		BRIGNOLA			
Inventor's Signature				Date 09/22/2008-	
Alpharetta Harvest Residence: City	GA AL State		US Country	US Citizenship	
11850-Brisbane-Drive 310 Blue Cre					
	24 10.				
Mailing Address  Alpharetta- Harwill  City	GA- AL State	•	30004 35749 Zip	US Country	
Name of Additional Joint Inventor, i	f any:	A petition has been filed for this unsigned inventor			
Given Name (first and middle (if	any))	Family Name or Surname			
Inventor's Signature				Date	
Residence: City	State		Country	Citizenship	
Mailing Address	<del></del>				
City	State		Zip	Country	

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